

## Problems 2.4 Countable Infinity

1. Which of the following sets  $S$  are finite? For finite sets, find if possible, the cardinality of the sets.

a)  $S$  is any set that can be put in a one-to-one correspondence with a proper subset of itself.

**Ans:** infinite, in fact that is one of the definitions of an infinite set.

b)  $\{a, b, c, d\}$

c) Consider finite strings of the letters  $a, b$  such as  $ab, a, bbbaaaa, aba, baaaabb$ , etc. The set  $S$  is the set of all finite strings of the letters  $a, b$ .

**Ans:**  $S$  contains an infinite number of elements, some of them are  $\{a, aa, aaa, aaaa, aaaaa, \dots\}$  which are all finite strings but the set of strings contains an infinite number of them.

d) the set of rational numbers

**Ans:** infinite, but what kind of infinity. We will see later that the set of irrational numbers is also infinity but a larger infinity.

e) the collection of five card hands dealt from a deck of 52 cards

**Ans:** finite,  $\binom{52}{5} = 2,598,960$  to be exact

f) prime numbers greater than  $10^{10}$

**Ans:** infinite, although there are a finite number of prime numbers less than  $10^{10}$ , and the prime number theorem states there are approximately

$$\frac{10^{10}}{\ln 10^{10}} \doteq 434,294,145$$

prime numbers less than  $10^{10}$ .

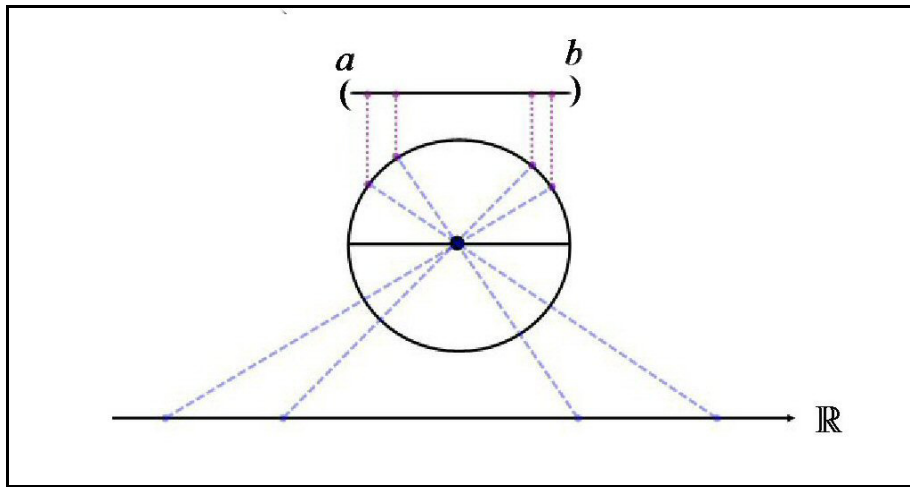
g)  $\{n \in \mathbb{N} : n^2 \text{ is odd}\}$

**Ans:** infinite, they are the odd integers  $1, 3, 5, \dots$

h)  $\{n \in \mathbb{N} : n \text{ is even and prime}\}$

**Ans:** finite, the only even prime number is 2

2. Explain why the following figure provides a geometric proof that the real line is equivalent to any open interval.



**Ans:** There is a 1-1 association between points  $x \in (a, b)$  and points  $y \in \mathbb{R}$  as follows. For each  $x \in (a, b)$  a unique  $y \in \mathbb{R}$  is found by moving vertically downward on the dotted line from  $x$  until one reaches the drawn circle, and then along the dotted line that passes through the center of the circle until one reaches the point  $x$  on the real line  $\mathbb{R}$ . Note that as the point  $x$  gets closer to the end points  $a$  and  $b$  the image point  $y$  will approach plus or minus infinity respectively on the real line.

3. Show that the union of two countable sets is countable.

**Ans:** If  $A, B$  are countable, then their elements can be put in a 1-1 correspondence with the natural numbers and so we can denote them by  $A = \{a_1, a_2, \dots\}, B = \{b_1, b_2, \dots\}$ . The union of these countable sets can then be expressed as  $A \cup B = \{a_1, b_1, a_2, b_2, \dots\}$ , which can put in a 1-1 correspondence with the natural numbers by

$$\begin{aligned} 1 &\approx a_1 \\ 2 &\approx b_1 \\ 3 &\approx a_2 \\ 4 &\approx b_2 \\ &\dots \\ 2n-1 &\approx a_n \\ 2n &\approx b_n \\ &\dots \end{aligned}$$

Hence, the union of countable sets is again countable.

4. **(Equivalent Sets)** For the following intervals, find an explicit one-to-one correspondence that show the intervals are equivalent.

a)  $\{a, b, c\} \approx \{1, 2, 3\}$

**Ans:**  $a \approx 1, b \approx 2, c \approx 3$ . Since each set can be put in a 1-1 correspondence with the set  $\{1, 2, 3\}$  we say the sets have cardinality 3.

b)  $A = [0, 1) \approx B = [0, \infty)$

**Ans:** Consider the 1-1 correspondence  $f: A \rightarrow B$ , where  $f(x) = \frac{x}{1-x}$ . We show this function is a bijection from  $[0, 1)$  onto  $[0, \infty)$  by first showing  $f$  is 1-1. We do this by setting

$$f(a) = \frac{a}{1-a} = \frac{b}{1-b} = f(b)$$

which implies  $a(1-b) = b(1-a)$  or  $a - ab = b - ab \Rightarrow a = b$ . Hence  $f$  is 1-1. We now show  $f$  is onto  $[0, \infty)$  by taking an arbitrary number  $b \in [0, \infty)$  and showing  $\exists a \in [0, 1)$  such that  $f(a) = \frac{a}{1-a} = b$ . But this equation yields  $a = b(1-a) \Rightarrow b - ab$ , and solving for  $a$  gives  $a = \frac{b}{1+b} \in [0, 1)$ . Hence  $f$  is a bijection from  $[0, 1) \rightarrow [0, \infty)$ .

c)  $(0, 1) \approx \mathbb{R}$

**Ans:** The function  $f(x) = \tan(\pi x)$ ,  $0 < x < 1$  is a bijection from  $(0, 1) \rightarrow \mathbb{R}$ .

d)  $[0, 1] \approx [3, 5]$

**Ans:** The linear function  $f(x) = 2x + 3$  is a bijection from  $[0, 1] \rightarrow [3, 5]$

5. **(Cardinality of the Power Set)** Let  $A = \{a, b, c, d\}$  and consider the mapping  $f: A \rightarrow P(A)$  from the set into its power set, defined by

$$f(a) = \{a, c, e\}, f(b) = \{d\}, f(c) = \{a, b\}, f(d) = \{d\}$$

Using the rule in Theorem 1, construct a subset of  $A$  that is different from any of the images of  $f$ .

**Ans:** We select those members of  $A$  that do not belong to their image under  $f$ , which are the members  $b$  and  $c$ . Hence extra set =  $\{b, c\}$

6. **(Cantor-Bernstein Theorem)** Given any two sets  $A$  and  $B$ , suppose that a subset of  $A$  is equivalent to  $B$  and that a subset of  $B$  is equivalent to  $A$ . Then  $A$  and  $B$  are equivalent. Use this theorem to prove  $(0,1) \approx [0,1]$ .

**Ans:** The set  $(0,1)$  is equivalent to the subset  $(0,1) \subseteq [0,1]$  by the identity mapping, and the set  $[0,1]$  is equivalent to the subset

$$\left[\frac{1}{4}, \frac{3}{4}\right] \subseteq (0,1)$$

using the bijection

$$f(x) = \frac{x}{2} + \frac{1}{4}.$$

Hence, by the Cantor-Bernstein theorem the open interval  $(0,1)$  has the same cardinality as the closed interval  $[0,1]$ .

7. **(Even and Odd Natural Numbers)** Let  $E$  be the set of even positive integers and  $O$  be the set of odd positive integers. Given an explicit function to show the following equivalences.

a)  $E \approx O$      **Ans:**  $2n-1 \approx 2n, n=1,2,\dots$

b)  $\mathbb{N} \approx O$      **Ans:**  $n \approx 2n-1, n=1,2,\dots$

c)  $\mathbb{N} \approx E$      **Ans:**  $n \approx 2n, n=1,2,\dots$

d)  $\mathbb{N} \times \mathbb{N} \approx \mathbb{N}$

**Ans:**  $1 \leftrightarrow (1,1), 2 \leftrightarrow (1,2), 3 \leftrightarrow (2,1), 4 \leftrightarrow (1,3), 5 \leftrightarrow (2,2), 6 \leftrightarrow (3,1), \text{etc}$

8. **(Infinite Sets)** A set is infinite if and only if it is equivalent to a proper subset of itself. Use this definition of an infinite set to show the following sets are infinite.

a)  $\mathbb{N}$

**Ans:** The set of natural numbers  $\mathbb{N}$  is equivalent to the even natural numbers. A bijection is  $f(n) = 2n, n=1,2,\dots$ .

b)  $\mathbb{R}$

**Ans:**  $\mathbb{R}$  is equivalent to the subset  $(0,1) \subseteq \mathbb{R}$ . A bijection  $f:(0,1) \rightarrow \mathbb{R}$  is  $f(x) = \tan(x)$ .

9. **(Bijection from the Prime Numbers)** The following sets are equivalent. Find a bijection

$$f : A \rightarrow B.$$

a)  $A = \mathbb{N}$ ,  $B =$  prime numbers natural numbers and the prime numbers

$$\text{Ans: } f(n) = nth \text{ prime number ; } 1 \leftrightarrow 2, 2 \leftrightarrow 3, 3 \leftrightarrow 5, 4 \leftrightarrow 7, \dots$$

b)  $A = \mathbb{N}$ ,  $B = \{10, 12, 14, \dots\}$

$$\text{Ans: } n \leftrightarrow 8 + 2n$$

c)  $A = \mathbb{R}$ ,  $B = (0, \infty)$

$$\text{Ans: } f(x) = e^x$$

10. **(Interesting Equivalence)** Show  $(0, 1) \approx (0, 1]$ .

**Ans:** Although we could use the Cantor-Bernstein theorem as discussed in Problem 5, we will give a direct proof. Although the set  $(0, 1]$  has only 1 more element than  $(0, 1)$ , it is not a trivial matter to show the sets are equivalent. Here is a clever way to do it. Set up a 1-1 correspondence  $f$  from  $(0, 1] \rightarrow (0, 1)$  as follows. Let

$$S = \left\{ \frac{1}{n+1} : n = 1, 2, \dots \right\}$$

be a sequence of points in  $(0, 1)$  and define the a function  $f$  by

$$\begin{aligned} f(0) &= 1/2 \\ f(1) &= 1/3 \\ f(x) &= \begin{cases} \frac{x}{2x+1} & x \in S \subseteq (0, 1) \\ x & x \notin S \subseteq (0, 1) \end{cases} \end{aligned}$$

This function is a bijection from  $(0, 1] \rightarrow (0, 1)$ . Looking more carefully at the function we see

$$f(0) = 1/2$$

$$f(1) = 1/3$$

$$f(1/2) = 1/4$$

$$f(1/3) = 1/5$$

$$f(1/4) = 1/6$$

$$f(1/5) = 1/7$$

...

Draw a picture of the sets  $(0,1]$  and  $(0,1)$  and visualize how the numbers in the two sets get matched up.

**ΦΣΖΛΩΙΘ**